

Appendix
 Regulating Conflicts of Interest through Public Disclosure:
 Evidence from a Physician Payments Sunshine Law

This document provides additional details, figures, tables, and robustness checks.

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Figure A1. Data Summary and Data Exclusions

Data Type:	Data Included:	Data Selection Based on:	Data Exclusions:
Geographic Regions	1) Chi, IL 2) Bos, MA 3) Phi, PA and Pit, PA 4) NYC, NY 5) Northern CA (Bay Area) and Southern CA (LA, SD areas)	1. Regions with the most academic medical centers (AMCs)	
Academic Medical Centers	23 AMCs in the above geographic regions	1. IMS AMC-hospital affiliations database	1. Hospitals not owned or operated by an AMC, with a few exceptions (e.g. Partners Healthcare, NY Presbyterian) that have full-time attendings affiliated with one of several possible AMCs
Drugs	262 drugs in nine drug classes	1. IMS drug classifications 2. Physician feedback on commonly marketed drugs	1. Rarely prescribed drugs (according to IMS) 2. Branded drugs that had a generic version come on the market during 2007-2011 3. Generics that entered the market during 2007-2011
Physicians	5,730 physicians listed as an attending at one of these AMCs in at least one quarter during the pre-policy period of January 2006 – June 2009	1. Quarterly IMS affiliations database	1. Physicians that had multiple affiliations in the pre-policy period, according to IMS
Monthly Prescriptions	Monthly prescriptions from July 2007 – July 2011 for each physician-month	1. 24 months before and after the policy	1. Prescriptions for physicians that did not prescribe a minimum amount of an entire drug class
Measures of Marketing	(1) Whether a drug is branded with no generic on the market (for a given month) (2) National sales force size in Q2 of 2009, according to SDI Health	1. FDA drug databases 2. When a generic is first prescribed in the IMS data	
Payments to Physicians	All meals and consulting payments of \$50+ to MA-licensed physicians from July 2009 – December 2011	1. Disclosures collected via the Massachusetts Sunshine Law	1. Other types of payments (e.g. educational)

Figure A2. Calculations, Extrapolating Results in Model (5)

As noted in model (5), disclosure led to a net decrease of 0.140 prescriptions per doctor-month-drug observation for branded drugs in Massachusetts. Since there are 1,775,890 physician-drug-month observations in Massachusetts post-disclosure, this translates to an estimated decrease of:

$$0.140 \text{ per doctor-drug-month} * 1,775,890 \text{ doctor-drug-months} = 248,625 \text{ total branded prescriptions}$$

This is relative to 972,275 total branded drug prescriptions actually written in Massachusetts during this time. This yields a predicted decrease of:

$$248,625 / (248,625 + 972,275) = 0.20 \rightarrow 20\% \text{ decrease in branded drugs}$$

Relative to the 3,625,380 total prescriptions written in Massachusetts post-disclosure (in this data), this represents a decrease in total prescriptions of:

$$248,625 / (248,625 + 3,625,380) = 0.064 \rightarrow 6.4\% \text{ decrease in total prescriptions}$$

Table A1: Alternate SE Specifications

	(A1)	(A2)	(A3)
DV	Rx	Rx	Rx
N (physician-drug-month)	17,013,810	17,013,810	17,013,810
SEs	Clustered by Physician	Clustered by State	Bootstrapped (AMC Clusters)
Adj. R²	0.874	0.874	0.874
<i>Policies</i>			
1. Sunshine	0.041 (0.028)	0.041 (0.034)	0.041 (0.041)
<i>Interactions</i>			
2. Sunshine*Branded	-0.181*** (0.017)	-0.181*** (0.000)	-0.181*** (0.030)
<i>Controls</i>			
Month FE	YES	YES	YES
Physician*Drug FE	YES	YES	YES
<i>Linear combinations of coefficients</i>			
a.) 1 + 2	-0.140*** (0.021)	-0.140*** (0.034)	-0.140*** (0.042)

***p<0.01.

SEs in (A1) and (A2) are robust.

Bootstrap in (A3) is with 100 reps and a seed of 100 in Stata.

For comparison, the average branded Rx in MA, post-policy, was 0.547 in all specifications.

Table A2: Excluding Drug Classes, Part 1

	(A4)	(A5)	(A6)	(A7)	(A8)
DV	Rx	Rx	Rx	Rx	Rx
N (physician-drug-month)	13,534,864	16,554,960	15,910,300	14,998,402	16,841,946
Drug Class Excluded	Anti-depressants	Anti-hyperactives	Anti-psychotics	Anxiolytics	Sleep Aids
Adj-R²	0.879	0.876	0.872	0.874	0.874
<i>Policies</i>					
1. Sunshine Implemented	0.024 (0.038)	0.047 (0.037)	0.042 (0.040)	0.092** (0.042)	0.039 (0.037)
<i>Interactions</i>					
2. Sunshine*Branded	-0.174*** (0.024)	-0.188*** (0.023)	-0.187*** (0.022)	-0.244*** (0.035)	-0.181*** (0.025)
<i>Controls</i>					
Month FE	YES	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES	YES
<i>Linear Comb. of Coeff.</i>					
a.) 1 + 2	-0.150*** (0.040)	-0.142*** (0.040)	-0.145*** (0.040)	-0.152*** (0.041)	-0.142*** (0.038)

** p<0.05; *** p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA post-policy was 0.551, 0.532, 0.506, 0.548, and 0.550 respectively.

Table A2: Excluding Drug Classes, Part 2

	(A9)	(A10)	(A11)	(A12)
DV	Rx	Rx	Rx	Rx
N (physician-drug-month)	16,192,308	11,278,353	16,394,451	14,404,896
Drug Classes Excluded	Statins	Anti-hypertensives	Proton Pump Inhibitors	Diabetes
Adj-R²	0.874	0.857	0.877	0.877
<i>Policies</i>				
1. Sunshine Implemented	0.035 (0.035)	0.067 (0.045)	0.007 (0.035)	0.029 (0.038)
<i>Interactions</i>				
2. Sunshine*Branded	-0.130*** (0.017)	-0.238*** (0.042)	-0.123 (0.020)	-0.186*** (0.027)
<i>Controls</i>				
Month FE	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES
<i>Linear Comb. of Coeff.</i>				
a.) 1 + 2	-0.095*** (0.034)	-0.171*** (0.056)	-0.116** (0.043)	-0.157*** (0.049)

** p<0.05; *** p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.428, 0.670, 0.559, and 0.618 respectively.

Table A3: Excluding States

	(A13)	(A14)	(A15)	(A16)
DV	Rx	Rx	Rx	Rx
N (physician-drug-month)	13,440,071	16,054,605	15,807,506	14,825,430
Excluded State	CA	IL	NY	PA
Adj-R²	0.882	0.875	0.881	0.868
<i>Policies</i>				
1. Sunshine Implemented	0.006 (0.044)	0.034 (0.039)	0.066 (0.037)	0.051 (0.041)
<i>Interactions</i>				
2. Sunshine*Branded	-0.181*** (0.025)	-0.181*** (0.025)	-0.181*** (0.025)	-0.181*** (0.025)
<i>Controls</i>				
Month FE	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES
<i>Linear Comb. of Coefficients</i>				
a.) 1 + 2	-0.175*** (0.047)	-0.148*** (0.043)	-0.115*** (0.041)	-0.139*** (0.045)

***p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.547.

Table A4: Excluding Partners Healthcare Physicians

	(A17)
N (physician-drug-month)	14,244,774
Adj. R²	0.874
<i>Policies</i>	
1. Sunshine	0.058 (0.040)
<i>Interactions</i>	
2. Sunshine*Branded	-0.203*** (0.022)
<i>Controls</i>	
Month FE	YES
Physician*Drug FE	YES
<i>Linear combinations of coeff</i>	
a.) 1 + 2	-0.145*** (0.041)

***p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.566.

Table A5: Only Including Drugs with 48 Months of Data

	(A18)
DV	Rx
N (physician-drug-month)	8,609,328
Drugs	81
Adj. R²	0.878
<i>Policies</i>	
1. Sunshine	0.029 (0.039)
<i>Interactions</i>	
2. Sunshine*Branded	-0.221*** (0.032)
<i>Controls</i>	
Month FE	YES
Physician*Drug FE	YES
<i>Linear combinations of coeff</i>	
a.) 1 + 2	-0.192*** (0.050)

***p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.959.

Table A6: Including All Drugs

	(A19)
DV	Rx
N (physician-drug-month)	22,769,044
Drugs	343
Adj-R²	0.860
<i>Policies</i>	
1. Sunshine (Implementation)	0.178*** (0.053)
<i>Interactions</i>	
3. Sunshine*Branded	-0.396*** (0.094)
<i>Controls</i>	
Month FE	YES
Physician*Drug FE	YES
<i>Linear Combinations of Coefficients</i>	
a.) 1 + 3	-0.218*** (0.057)

*** p<0.01.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.454.

Note: This regression includes all drugs that were dropped per the methods detailed in the “data exclusions” section.

Table A7: Ln(Rx) Specifications

	(A20)	(A21)
N (physician-drug-month)	17,013,810	17,013,810
Dependent Variable	Ln(Rx)^	Ln(Rx+1)
Adj. R²	0.803	0.803
<i>Policies</i>		
1. Sunshine	0.002 (0.007)	-0.001 (0.009)
<i>Interactions</i>		
2. Sunshine*Branded	-0.016*** (0.002)	-0.014*** (0.002)
<i>Controls</i>		
Month FE	YES	YES
Physician*Drug FE	YES	YES
<i>Linear combinations of coeff</i>		
a.) 1 + 2 [#]	-0.014** (0.006)	-0.014* (0.007)

*p<0.10, **p<0.05, ***p<0.01. SEs are robust and clustered by AMC.

^DV = 0 for observations with 0 prescriptions.

[#]The p-value is 0.024 in Model (A20) and 0.059 in Model (A21).

For comparison, the average branded log-Rx in MA, post-policy, was 0.116 and 0.159, respectively.

Table A8: Branded Only (No Generics)

	(A22)
N (physician-drug-month)	6,744,556
Dependent Variable	Rx
Adj. R²	0.842
<i>Policies</i>	
1. Sunshine	-0.088** (0.042)
Month FE	YES
Physician*Drug FE	YES

**p<0.05

SEs are robust and clustered by AMC.

This regresses only on branded drugs to test whether the generic counterfactual is crucial to the result.

For comparison, the average branded Rx in MA, post-policy, was 0.547.

Table A9: Aggregating Rx Across Drugs

	(A23)	(A24)
DV [^]	Rx per Doctor-Branded-Month	Rx per Doctor-Branded-Month
Specification	OLS	Poisson
N [#]	543,310	542,894
Adj. R ²	0.747	-
<i>Main Effects</i>		
1. Sunshine	6.487* (3.214)	0.051** (0.026)
2. Branded	-11.478*** (3.351)	-0.623*** (0.020)
<i>Interactions</i>		
3. Sunshine*Branded	-13.324** (5.631)	-0.383*** (0.023)
<i>Controls</i>		
Month FE	YES	YES
Physician FE	YES	YES
<i>Linear combinations of coeff</i>		
a.) 1 + 3	-6.837** (2.655)	-

*p<0.10, **p<0.05, ***p<0.01.

SEs are robust and clustered by AMC.

[^]The DV is the aggregated prescriptions at the doctor – month level, split by branded and generic drugs (i.e. two observations per doctor – month).

[#]Observations are very slightly different between specifications because 17 physicians (9 treatment, 8 control) had no changes in prescriptions over time because they prescribed only drugs from these classes that were excluded in the sample, and thus were excluded in the Poisson model.

For comparison, the average branded Rx in MA, post-policy, was 14.043.

Table A10: Top 5 Drug Regressions

	(A25)	(A26)
N (physician-drug-month) Drugs	16,510,050	10,773,014
Adj. R²	No Top-5 Branded 0.873	Only Top-5 Branded[^] 0.885
<i>Policies</i>		
1. Sunshine	0.031 (0.038)	0.015 (0.040)
<i>Interactions</i>		
2. Sunshine*Branded	-0.104*** (0.020)	-0.842*** (0.218)
<i>Controls</i>		
Month FE	YES	YES
Physician*Drug FE	YES	YES
<i>Linear combinations of coeff</i>		
a.) 1 + 2	-0.074** (0.031)	-0.827*** (0.233)

p<0.05, *p<0.01.

SEs are robust and clustered by AMC.

[^]This excludes all branded drugs except the top-5.

For comparison, the average branded Rx in MA, post-policy, was 0.325 and 3.285, respectively.

Table A11: Psych and Non-Psych

	(A27)	(A28)
N (physician-drug-month) Drugs	12,792,171	4,221,639
Adj. R²	Psych 0.883	Non-Psych 0.850
<i>Policies</i>		
1. Sunshine	-0.020 (0.034)	0.348*** (0.118)
<i>Interactions</i>		
2. Sunshine*Branded	-0.042** (0.016)	-0.607*** (0.131)
<i>Controls</i>		
Month FE	YES	YES
Physician*Drug FE	YES	YES
<i>Linear combinations of coeff</i>		
a.) 1 + 2	-0.063 [^] (0.036)	-0.259*** (0.061)

p<0.05, *p<0.01.

[^]p = 0.107.

SEs are robust and clustered by AMC.

For comparison, the average branded Rx in MA, post-policy, was 0.679 and 0.476, respectively.

Table A12: Dropping Rare Doctor-Drug Combinations

	(A29)	(A30)
DV	Rx	Ln(Rx+1)
N (physician-drug-month)	1,034,797	1,034,797
Adj-R²	0.847	0.647
1.Sunshine Implemented	-0.092 (0.275)	-0.046 (0.037)
2. Sunshine*Branded	-1.493*** (0.229)	-0.080*** (0.019)
<i>Controls</i>		
Month FE	YES	YES
Physician*Drug FE	YES	YES
<i>Linear Combinations of Coefficients</i>		
a.) 1 + 2	-1.585*** (0.360)	-0.126*** (0.043)

*** p<0.01. SEs are robust and clustered by AMC.

Linear combination (a) represents the net effect of disclosure on *branded drugs*.

For comparison, the average branded Rx in MA, post-policy, was 7.374 and 1.681, respectively.

These specifications exclude all doctor-drug pairs with **less than 48 total scripts in the dataset** (this translates to less than 1 script per month on average). This yields 5001 remaining physicians and 179 drugs (and 37,281 unique doctor-drug combinations).

For Model (A29), the extrapolated effect size can be approximated as $1.585 \div (1.585 + 7.374) = 17.7\%$.

By comparing Model (A30) to Model (A21), this implies the $\ln(\text{Rx}+1)$ dependent variable is highly sensitive to the zeroes from these rare doctor-drug observations. Thus these should be viewed as conservative robustness checks. This makes sense when considering that the $\ln(\text{Rx}+1)$ DV likely underestimates policy effects on more highly prescribed drugs and higher-prescribing doctors; these are the very observations where marketing and thus disclosure are most likely to have true effects.

Table A13: Splitting Effects by Volume Quintiles, DV = Rx

	(A31)	(A32)	(A33)	(A34)	(A35)
N (physician-drug-month)	6,235,343	4,381,007	2,918,677	1,988,417	1,490,366
Volume Quintile	Top	2nd	3rd	4th	Bottom
Dependent Variable	Rx	Rx	Rx	Rx	Rx
Adj-R²	0.898	0.651	0.502	0.397	0.364
<i>Main Effects</i>					
1. Sunshine Implementation	0.063 (0.077)	0.058 (0.044)	0.072* (0.039)	0.015 (0.041)	0.036 (0.049)
<i>Interactions</i>					
2. Sunshine*Branded	-0.240*** (0.051)	-0.162*** (0.013)	-0.125*** (0.024)	-0.085* (0.043)	-0.061 (0.098)
Month FE	YES	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES	YES
<i>Linear Comb. of Coeff.</i>					
a.) 1 + 2	-0.177** (0.083)	-0.104** (0.046)	-0.053* (0.030)	-0.070** (0.027)	-0.025*** (0.064)

*** p<0.01. SEs are robust and clustered by AMC.

The *Branded* indicator is dropped for being collinear to drug FE.

Linear combination (a) represents the net effect of *sunshine-implementation* on *branded drugs*.

Table A13b: Summary Stats for Table A13

Volume Quintile	Top	2nd	3rd	4th	Bottom
Total physicians	1146	1146	1146	1146	1146
Total branded scripts in MA, post-policy average	0.911 (4.037)	0.320 (1.570)	0.200 (1.156)	0.140 (0.776)	0.211 (1.338)
Obs, MA post-policy	801,738	457,651	247,188	153,278	115,035
Extrapolated effect size	16%	25%	21%	33%	11%
Extrapolated scripts reduced	872,290	194,044	62,538	32,188	27,148

This extrapolates to an estimated decrease of 216,209 scripts out of an estimated counterfactual of 1,188,210 scripts, yielding an **18% estimated decrease** in branded scripts.

Table A14: Splitting Effects by Volume Quintiles, DV = Rx, Dropping Rare Doctor-Drugs

	(A36)	(A37)	(A38)	(A39)	(A40)
N (physician-drug-month)	668,273	217,813	94,609	40,617	13,485
Volume Quintile	Top	2nd	3rd	4th	Bottom
Dependent Variable	Rx	Rx	Rx	Rx	Rx
Adj-R²	0.860	0.478	0.280	0.089	0.014
<i>Main Effects</i>					
1. Sunshine Implementation	-0.343 (0.403)	0.432 (0.327)	-0.193 (0.184)	-0.052 (0.173)	0.075 (0.169)
<i>Interactions</i>					
2. Sunshine*Branded	-1.750*** (0.301)	-1.462*** (0.148)	-0.258 (0.329)	-0.055 (0.135)	-0.019 (0.110)
Month FE	YES	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES	YES
<i>Linear Comb. of Coeff.</i>					
a.) 1 + 2	-2.093*** (0.477)	-1.029** (0.385)	-0.450 (0.369)	-0.107 (0.212)	0.056 (0.184)

*** p<0.01. SEs are robust and clustered by AMC.

The *Branded* indicator is dropped for being collinear to drug FE.

Linear combination (a) represents the net effect of *sunshine-implementation* on *branded drugs*.

Table A14b: Summary Stats for Table A14

Volume Quintile	Top	2nd	3rd	4th	Bottom
Total physicians	1000	1000	1000	1000	1001
Total branded scripts in MA, post-policy average	8.984 (11.058)	4.850 (4.979)	3.426 (3.497)	2.345 (2.560)	1.481 (1.657)
Obs, MA post-policy	64,878	20,567	8,166	3,081	807
Extrapolated effect size	18.9%	17.5%	11.6%	4.4%	3.6%
Extrapolated scripts reduced	135,790	21,163	3,675	330	45

This extrapolates to an estimated decrease of 161,003 scripts out of an estimated counterfactual of 880,014 scripts, yielding an **18% estimated decrease** in branded scripts.

Table A15: Splitting Effects by Volume Quintiles, DV = Log(Rx+1), Dropping Rare Doctor-Drugs

	(A41)	(A42)	(A43)	(A44)	(A45)
N (physician-drug-month)	668,273	217,813	94,609	40,617	13,485
Volume Quintile	Top	2nd	3rd	4th	Bottom
Dependent Variable	Log(Rx+1)	Log(Rx+1)	Log(Rx+1)	Log(Rx+1)	Log(Rx+1)
Adj-R²	0.698	0.410	0.269	0.111	0.019
<i>Main Effects</i>					
1. Sunshine Implementation	-0.063 (0.046)	0.356 (0.073)	-0.101** (0.046)	-0.015 (0.052)	0.030 (0.063)
<i>Interactions</i>					
2. Sunshine*Branded	-0.060** (0.026)	-0.192*** (0.039)	-0.033 (0.071)	-0.025 (0.038)	-0.011 (0.044)
Month FE	YES	YES	YES	YES	YES
Physician*Drug FE	YES	YES	YES	YES	YES
<i>Linear Comb. of Coeff.</i>					
a.) 1 + 2	-0.123** (0.053)	-0.156* (0.083)	-0.113 (0.084)	-0.039 (0.064)	0.019 (0.074)

*** p<0.01. SEs are robust and clustered by AMC.

The *Branded* indicator is dropped for being collinear to drug FE.

Linear combination (a) represents the net effect of *sunshine-implementation* on *branded drugs*.

Table A15b: Summary Stats for Table A15

Volume Quintile	Top	2nd	3rd	4th	Bottom
Total physicians	1000	1000	1000	1000	1001
Total log(branded) scripts in MA, post-policy average	1.859 (0.946)	1.454 (0.815)	1.203 (0.774)	0.966 (0.695)	0.722 (0.602)
Obs, MA post-policy	64,878	20,567	8,166	3,081	807

Many academic medical centers began imposing AMC-level policies on industry marketing during the 2000s and 2010s. Table A17 lists policy implementations (if any) for the AMCs in this study, provided by the Institute for Medicine as a Profession. Model (A46) controls for these policies and demonstrates that disclosure effects are robust to controlling for AMC-level policies. The effect of AMC policies is positive and significant for generics, while the net effect on branded drugs is negative, suggesting a substitution story. This is consistent with previous literature, which showed similar substitution patterns for AMC policies, and also found that AMC policy effects were significant for only approximately half of AMCs, depending on AMC policy details (Larkin et al. 2017).

Table A16: Controlling for Other AMC Marketing Policies

	(A46)
N (physician-drug-month)	15,317,968
Adj. R²	0.877
<i>Policies</i>	
1. Sunshine	0.016 (0.034)
2. AMC-Policy	0.033** (.015)
<i>Interactions</i>	
3. Sunshine*Branded	-0.145*** (0.033)
4. AMC*Branded	-0.068*** (0.014)
<i>Controls</i>	
Month FE	YES
Physician*Drug FE	YES
<i>Linear combinations of coefficients</i>	
a.) 1 + 3 (sunshine law)	-0.129*** (0.043)
b.) 2 + 4 (AMC policy)	-0.035* (0.020)

*p<0.10, **p<0.05, ***p<0.01. SEs are robust and clustered by AMC.

a) Net effect of the sunshine law on marketed drugs.

b) Net effect of AMC policies on marketed drugs.

Observations are slightly fewer as some physicians could be identified at a state level but not a specific AMC. These were clustered together by state before but excluded here.

Table A17: List of AMCs and AMC Policy Dates

AMC Affiliations	Marketing Policy Date
University of California - Davis	Jul-07
University of California - San Francisco	Jul-07
University of Illinois - Chicago	Dec-07
Mount Sinai University	Jan-08
University of Southern California	Jan-08
University of Pittsburgh	Feb-08
University of California - San Diego	Mar-08
University of Rochester	Jun-08
University of Massachusetts	Jul-08
Rush University	Jan-09
Stonybrook University	Mar-09
Rosalind Franklin University	Jun-09
Temple University	Jun-09
Harvard University	Oct-09
University of California - Los Angeles	Oct-09
New York Medical College	Jan-10
Northwestern University	Jan-10
SUNY Downstate	Apr-10
Tufts University	Apr-10
Stanford University	Jul-10
Boston University	Aug-10
Thomas Jefferson University	May-11
New York College of Medicine	None

Table A18: Summary Statistics, Only Drugs Present in All 48 Months

	Total Observations (physician-drug-month)	Jul07 – Jun09 (pre-law)	Total Observations (physician-drug-month)	Jul09 – Jul11 (post-law)
Branded Rx, Massachusetts	875,736 (83% zeroes)	1.01 (4.58)	875,736 (81% zeroes)	0.94 (3.81)
Branded Rx, Control States	830,496 (85% zeroes)	0.85 (4.36)	830,496 (83% zeroes)	0.91 (4.33)
Generic Rx, Massachusetts	1,348,320 (74% zeroes)	1.66 (6.25)	1,348,320 (73% zeroes)	1.81 (6.64)
Generic Rx, Control States	1,250,112 (81% zeroes)	0.94 (4.66)	1,250,112 (79% zeroes)	1.11 (5.11)

Table A19: Summary Statistics, Non-Zero Observations Only

	Total Observations	Mean (SD)	Range (min to max)	Total Observations	Mean (SD)	Range (min to max)
Branded Rx, Massachusetts	177,684	5.55 (9.27)	0.26 to 201.47	199,631	4.87 (7.36)	0.35 to 156.08
Branded Rx, Control States	370,176	6.20 (10.71)	0.31 to 284.28	413,330	6.642 (10.92)	0.3 to 371.65
Generic Rx, Massachusetts	148,141	5.42 (9.50)	0.46 to 423.29	173,076	5.18 (8.86)	0.36 to 387.67
Generic Rx, Control States	253,511	4.82 (9.46)	0.43 to 404.15	287,728	5.18 (9.85)	0.41 to 349.49

Table A20: Summary Statistics, Dropping Rare Doctor-Drug Pairs

	Total Observations	Mean (SD)	Range (min to max)	Total Observations	Mean (SD)	Range (min to max)
Branded Rx, Massachusetts	96,962	7.90 (11.84)	0 to 201.47	97,499	7.37 (9.66)	0 to 156.08
Branded Rx, Control States	200,040	9.27 (13.66)	0 to 284.28	210,089	10.15 (14.12)	0 to 371.65
Generic Rx, Massachusetts	84,151	7.21 (12.12)	0 to 423.29	85,269	7.76 (11.83)	0 to 387.67
Generic Rx, Control States	128,409	6.86 (12.79)	0 to 404.15	132,378	8.24 (13.67)	0 to 349.49

Table A21: Splitting by Both Prescribing Volume and Sales Force

	(A47)
DV	Rx
Pre-Policy Split Based On	Total Rx
N (physician-drug-month)	17,013,810
Adj-R²	0.874
<i>Policy * Half</i>	
1. Sunshine Implementation * High Volume Half	0.027 (0.041)
2. Sunshine Implementation * Low Volume Half	0.086* (0.043)
<i>Policy * Half * Branded</i>	
3. Sunshine * High * Branded	-0.107** (0.048)
4. Sunshine * Low * Branded	-0.137*** (0.039)
<i>Policy * Half * Any Sales Force</i>	
5. Sunshine * High * Any Sales Force	-0.202** (0.076)
6. Sunshine * Low * Any Sales Force	0.133*** (0.030)
<i>Controls</i>	
Month FE	YES
Physician*Drug FE	YES
<i>Linear Combinations of Coefficients</i>	
a.) 1 + 3 (High volume, branded but no sales force)	-0.080 (0.053)
b.) 2+ 4 (Low volume, branded but no sales force)	-0.051 (0.030)
c.) 1 + 3 + 5 + 7 (High volume, branded with sales force)	-0.282*** (0.068)
d.) 2+ 4 + 6 + 8 (Low volume, branded with sales force)	0.082* (0.047)

*p<0.10, **p<0.05, ***p<0.01.

SEs are robust and clustered by AMC.

For comparison, average branded Rx in MA, post-policy, was 0.177 (top, no sales force), 0.083 (bottom, no sales force), 1.465 (top, sales force), and 0.341 (bottom, no sales force).

Table A22: List of Drugs, with Drug Class

AMITRIPTYLINE HCL	Antidepressant	VIVACTIL	Antidepressant
AMOXAPINE	Antidepressant	WELLBUTRIN	Antidepressant
ANAFRANIL	Antidepressant	WELLBUTRIN SR	Antidepressant
APLENZIN	Antidepressant	ADDERALL	Antihyperactives
BUDEPRION SR	Antidepressant	AMPHETAMIN SALT ER	Antihyperactives
BUPROPION HCL	Antidepressant	AMPHETAMINE SALTS	Antihyperactives
BUPROPION HCL SR	Antidepressant	CONCERTA	Antihyperactives
BUPROPION HCL SR W	Antidepressant	DAYTRANA	Antihyperactives
CELEXA	Antidepressant	DEXEDRINE	Antihyperactives
CITALOPRAM HBR	Antidepressant	DEXMETHYLPHEN HCL	Antihyperactives
CLOMIPRAMINE HCL	Antidepressant	DEXTROAMPHETAMINE	Antihyperactives
CYMBALTA	Antidepressant	DEXTROSTAT	Antihyperactives
DESIPRAMINE HCL	Antidepressant	FOCALIN XR	Antihyperactives
DESYREL	Antidepressant	KAPVAY	Antihyperactives
DOXEPIN HCL	Antidepressant	LIQUADD	Antihyperactives
ELAVIL	Antidepressant	METADATE ER	Antihyperactives
FLUOXETINE HCL	Antidepressant	METHYLIN ER	Antihyperactives
FLUVOXAMINE MAL	Antidepressant	METHYLPHENIDATE	Antihyperactives
IMIPRAMINE HCL	Antidepressant	PROCENTRA	Antihyperactives
IMIPRAMINE PAM	Antidepressant	RITALIN	Antihyperactives
LEXAPRO	Antidepressant	RITALIN-SR	Antihyperactives
LUVOX CR	Antidepressant	STRATTERA	Antihyperactives
MAPROTILINE HCL	Antidepressant	VYVANSE	Antihyperactives
MIRTAZAPINE	Antidepressant	ADVICOR	Statins
NEFAZODONE HCL	Antidepressant	ALTOPREV	Statins
NORPRAMIN	Antidepressant	CADUET	Statins
NORTRIPTYLINE HCL	Antidepressant	CRESTOR	Statins
OLEPTRO	Antidepressant	LESCOL	Statins
PAMELOR	Antidepressant	LESCOL XL	Statins
PAROXETINE HCL	Antidepressant	LIPITOR	Statins
PAXIL	Antidepressant	LIVALO	Statins
PAXIL CR	Antidepressant	LOVASTATIN	Statins
PEXEVA	Antidepressant	MEVACOR	Statins
PRISTIQ	Antidepressant	SIMCOR	Statins
PROTRIPTYLINE HCL	Antidepressant	VYTORIN	Statins
PROZAC	Antidepressant	ABILIFY	Antipsychotics
PROZAC WEEKLY	Antidepressant	CHLORPROMAZINE HCL	Antipsychotics
REMERON	Antidepressant	CLOZAPINE	Antipsychotics
REMERON SOLTAB	Antidepressant	CLOZARIL	Antipsychotics
SELFEMRA	Antidepressant	DIASTAT	Antipsychotics
SINEQUAN	Antidepressant	DIASTAT ACUDIAL	Antipsychotics
SURMONTIL	Antidepressant	EQUETRO	Antipsychotics
TOFRANIL	Antidepressant	FANAPT	Antipsychotics
TRAZODONE HCL	Antidepressant	FAZACLO	Antipsychotics

FLUPHENAZINE DECAN	Antipsychotics	DOXYLAMINE SUCCIN	Sleep Aids
FLUPHENAZINE HCL	Antipsychotics	EDLUAR	Sleep Aids
HALDOL DECANOATE	Antipsychotics	ESTAZOLAM	Sleep Aids
HALOPERIDOL	Antipsychotics	FLURAZEPAM HCL	Sleep Aids
HALOPERIDOL DECAN	Antipsychotics	HALCION	Sleep Aids
HALOPERIDOL LACT	Antipsychotics	LUNESTA	Sleep Aids
INVEGA	Antipsychotics	MIDAZOLAM HCL	Sleep Aids
INVEGA SUSTENNA	Antipsychotics	NUVIGIL	Sleep Aids
LATUDA	Antipsychotics	RESTORIL	Sleep Aids
LOXAPINE	Antipsychotics	SILENOR	Sleep Aids
LOXITANE	Antipsychotics	TEMAZEPAM	Sleep Aids
MOBAN	Antipsychotics	TRIAZOLAM	Sleep Aids
NAVANE	Antipsychotics	ACTOPLUS MET XR	Diabetes
ORAP	Antipsychotics	AMARYL	Diabetes
PERPHENAZINE	Antipsychotics	AVANDAMET	Diabetes
RISPERDAL CONSTA	Antipsychotics	AVANDARYL	Diabetes
RISPERIDONE ODT	Antipsychotics	AVANDIA	Diabetes
SAPHRIS	Antipsychotics	BYETTA	Diabetes
SEROQUEL	Antipsychotics	CHLORPROPAMIDE	Diabetes
SEROQUEL XR	Antipsychotics	DIABETA	Diabetes
THIORIDAZINE HCL	Antipsychotics	DUETACT	Diabetes
THIOTHIXENE	Antipsychotics	GLIMEPIRIDE	Diabetes
TRIFLUOPERAZIN HCL	Antipsychotics	GLIPIZIDE	Diabetes
ZYPREXA	Antipsychotics	GLIPIZIDE ER	Diabetes
ZYPREXA IM	Antipsychotics	GLIPIZIDE/METFORM	Diabetes
ZYPREXA RELPREVV	Antipsychotics	GLUCOPHAGE	Diabetes
ZYPREXA ZYDIS	Antipsychotics	GLUCOPHAGE XR	Diabetes
ALPRAZOLAM	Anxiolytics	GLUCOTROL	Diabetes
ATARAX	Anxiolytics	GLUCOVANCE	Diabetes
ATIVAN	Anxiolytics	GLUMETZA	Diabetes
BUSPAR	Anxiolytics	GLYBURIDE	Diabetes
BUSPIRONE HCL	Anxiolytics	GLYBURIDE MICRO	Diabetes
CHLORDIAZEPOX HCL	Anxiolytics	GLYBURIDE/METFORM	Diabetes
CLORAZEPATE DIPOT	Anxiolytics	GLYNASE PRESTAB	Diabetes
DIAZEPAM	Anxiolytics	GLYSET	Diabetes
HYDROXYZINE HCL	Anxiolytics	JANUMET	Diabetes
HYDROXYZINE PAM	Anxiolytics	JANUVIA	Diabetes
LIBRIUM	Anxiolytics	KOMBIGLYZE XR	Diabetes
LORAZEPAM	Anxiolytics	LANTUS	Diabetes
LORAZEPAM INTENSOL	Anxiolytics	LANTUS SOLOSTAR	Diabetes
MEPROBAMATE	Anxiolytics	LEVEMIR	Diabetes
NIRAVAM	Anxiolytics	METAGLIP	Diabetes
OXAZEPAM	Anxiolytics	METFORMIN ER (G)	Diabetes
TRANXENE T-TAB	Anxiolytics	METFORMIN HCL	Diabetes
VALIUM	Anxiolytics	METFORMIN HCL ER	Diabetes
VISTARIL	Anxiolytics	MICRONASE	Diabetes
XANAX	Anxiolytics	ONGLYZA	Diabetes
DORAL	Sleep Aids	PRANDIN	Diabetes

RIOMET	Diabetes	ENALAPRIL MAL/HCTZ	Antihypertensives
SYMLIN	Diabetes	EXFORGE	Antihypertensives
SYMLINPEN 120	Diabetes	EXFORGE HCT	Antihypertensives
SYMLINPEN 60	Diabetes	FOSINOPRIL SOD	Antihypertensives
TOLAZAMIDE	Diabetes	FOSINOPRIL/HCTZ	Antihypertensives
TOLBUTAMIDE	Diabetes	INDERAL	Antihypertensives
TRADJENTA	Diabetes	LISINOPRIL	Antihypertensives
VICTOZA 2-PAK	Diabetes	LISINOPRIL/HCTZ	Antihypertensives
VICTOZA 3-PAK	Diabetes	LOPRESSOR	Antihypertensives
ACIPHEX	Proton Pump Inhibitors	LOTENSIN	Antihypertensives
DEXILANT	Proton Pump Inhibitors	LOTENSIN HCT	Antihypertensives
KAPIDEX	Proton Pump Inhibitors	MAVIK	Antihypertensives
NEXIUM	Proton Pump Inhibitors	METOPROLOL TART	Antihypertensives
OMEPRazole (RX)	Proton Pump Inhibitors	MICARDIS	Antihypertensives
PREVACID SOLUTAB	Proton Pump Inhibitors	MICARDIS HCT	Antihypertensives
PRIOSEC	Proton Pump Inhibitors	MONOPRIL	Antihypertensives
ZEGERID (OTC)	Proton Pump Inhibitors	MONOPRIL HCT	Antihypertensives
ACCUPRIL	Antihypertensives	NADOLOL	Antihypertensives
ACCURETIC	Antihypertensives	PINDOLOL	Antihypertensives
ACEBUTOLOL HCL	Antihypertensives	PRINIVIL	Antihypertensives
ALTACE	Antihypertensives	PRINZIDE	Antihypertensives
ATACAND	Antihypertensives	PROPRANOLOL HCL	Antihypertensives
ATACAND HCT	Antihypertensives	QUINAPRIL HCL	Antihypertensives
ATENOLOL	Antihypertensives	QUINARETIC	Antihypertensives
AVAPRO	Antihypertensives	SECTRAL	Antihypertensives
AZOR	Antihypertensives	TENORMIN	Antihypertensives
BENAZEPRIL HCL	Antihypertensives	TEVETEN	Antihypertensives
BENAZEPRIL/HCTZ	Antihypertensives	TEVETEN HCT	Antihypertensives
BENICAR	Antihypertensives	TIMOLOL MAL	Antihypertensives
BENICAR HCT	Antihypertensives	TRANDOLAPRIL	Antihypertensives
BISOPROLOL FUM	Antihypertensives	TRIBENZOR	Antihypertensives
CAPOTEN	Antihypertensives	TWYNSTA	Antihypertensives
CAPTOPRIL	Antihypertensives	UNIVASC	Antihypertensives
CAPTOPRIL/HCTZ	Antihypertensives	VALTURNA	Antihypertensives
CORGARD	Antihypertensives	VASERETIC	Antihypertensives
DIOVAN	Antihypertensives	VASOTEC	Antihypertensives
DIOVAN HCT	Antihypertensives	ZEBETA	Antihypertensives
EDARBI	Antihypertensives	ZESTORETIC	Antihypertensives
ENALAPRIL MAL	Antihypertensives	ZESTRIL	Antihypertensives